IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Eisenhower et al.

Serial No.:

Unknown

Filed:

Herewith

Title:

SUPERCRITICAL PRESSURE REGULATION OF VAPOR COMPRESSION SYSTEM BY REGULATION OF ADAPTIVE

CONTROL

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Provided herewith are copies of the publications as listed in the attached Form PTO-1449. None of these references discloses the invention claimed in the subject application.

Respectfully submitted,

CARLSON, GASKEY & OLDS, P.C.

Dated: September 5, 2003

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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO	Complete if Known		
	Application Number	Unknown	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Eisenhower et al.	
<u> </u>	Art Unit	Unknown	
(use as many sheets as necessary)	Examiner Name	Unknown	
Sheet 1 of 2	Attorney Docket Number	r 60246-189;10580	

		U.S. PATI	ENT DOCUMENTS	
Examiner Initials*	Cite No. 1 Number - Kind Code 2 (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	us- 6,264,111	07-24-2001	Nicolson et al.	
C 07-04-06-06-06-06-06-06-06-06-06-06-06-06-06-	us- 6,006,142	12-21-1999	Seem et al.	***************************************
	us- 4,873,649	10-10-1989	Grald et al.	
	us- 5,301,101	04-05-1994	MacArthur et al.	
	us- 5,526,292	06-11-1996	Hodgson et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner		Foreign Patent Document Country Code 3 "Number 4" - Kind Code 5 (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6	
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Examiner	 Date	
	Considered	
Signature	Considered	

English language Translation is attached.

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2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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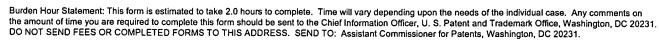
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Substitute for form 1449B/PTO		Co	Complete if Known		
1815			Application Number	Unknown	
INFORMATION DISCLOSURE			Filing Date	Herewith	
STATEMENT BY APPLICANT (use as many sheets as necessary)		First Named Inventor	Eisenhower et al.		
		Group Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	2	of 2	Attorney Docket Number	60246-189;10580	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	1	WANG ET AL., Experimental Application of Extremum Seeking on an Axial-Flow Compressor, IEEE Transactions on Control Systems Technology, pages 1-11, 1998.			
Examiner Signature		Date Considered			

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



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